



CMSS WEBINAR SERIES:
Registry Science and Research

CMSS Presents:

***Defining and Creating the
Registries of the Future***

September 22, 2022

Registry and Research Initiative: Defining and Creating Registries of the Future

CMSS Registry Webinar Series

While clinical trials focus on efficacy—the extent to which medical interventions achieve health improvements under ideal circumstances—registries **provide strong evidence** for the extent to which **medical interventions** achieve health **improvements in real practice** settings.

An organized system that uses **observational study methods** to collect uniform data (clinical, and other) to **evaluate specified outcomes** for a population defined by a particular disease, condition, or exposure, and that serves one or

What is a registry in medical terms?
A disease registry is a **special database that contains information about people diagnosed with a specific type of disease**. Most disease registries are either hospital based or population based.

Types of Registries

- Improvement of patient care.
- Professional education.
- Administrative information.
- Clinical research.

A clinical registry is a **computer database** that collects information about your health and the care you receive as a patient. The data in the registry comes from the information your healthcare provider collects while providing your care and is added to information on other patients who are similar to you. It is then used to help improve the quality of your care as well as the care of other patients, now and in the future. This article provides answers to the most common questions patients have about clinical registries.

Database: a collection of information (i.e., data) arranged for ease of search and retrieval of information. Registry: a collection of information or databases whose organizers receive information from multiple sources, maintain the information over time, and control access to the information.

Registries focused on specific diseases or conditions collect information voluntarily from people with those conditions. Clinical trials registries **collect basic health information from people who agree to be contacted about participating in future clinical trials or studies**.

Mortality

Hospitalizations

Health

New Generations



Why Do We Exist?

Is our Purpose Changing?

Data is Everywhere!

Systematic Health IT is Alive

Where Should we Invest?



Innovative clinical registries

Leveraging federal data standards

Patient-generated data

Multi-stakeholder governance

Data quality

Evolving strategies for sustainability

A close-up photograph of a person's eyes, looking directly at the camera. The eyes are brown and have a slight reflection. The image is overlaid with a semi-transparent dark brown filter. A white double-line rectangular border frames the central text. The text "It's Time to Reasses" is written in a white, clean, sans-serif font, centered horizontally across the middle of the image.

It's Time to Reasses

Co-Moderator



Kathleen Hewitt, DNP, MSN, RN

Co-Moderator



Danica Marinac-Dabic, MD, PhD, MMSc, FISPE



Bill Wood, MD, MPH



Philip P. Goodney, MD, MS



Art Sedrakyan, MD, PhD

Coordinated Registry Networks (CRNs): Foundational Building Blocks

Danica Marinac-Dabic, MD, PhD, MMSc, FISPE
Associate Director
Office of Clinical Evidence and Analysis
FDA/CDRH



It Takes a Village!

Need: Curated, fit for purpose, interoperable, real-world, longitudinal data, available for decision making, regulatory science and public health

Journey: From one-off studies to strategically aligned RWD and registry-embedded studies

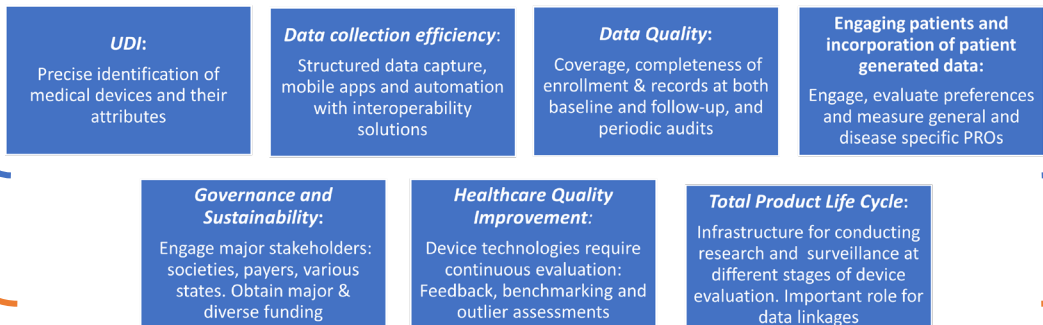
Key Milestones



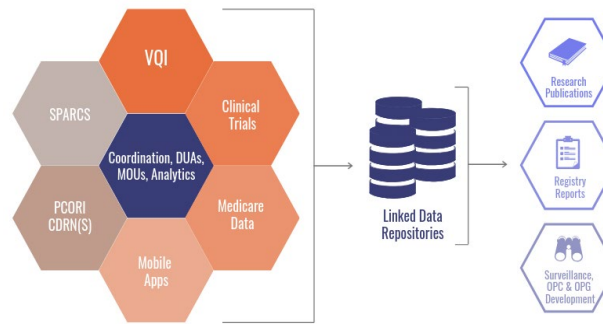
CCR	CRN Name	Clinical Area (current phase)
1.	Women's Health Technology Coordinated Registry Network (WHT-CRN)	Women's Health Women's Health (uterine fibroids, pelvic organ prolapses, stress urinary incontinence, sterilization)
2.	Vascular Implants Surveillance and Outcomes Network (VISION-CRN)	Vascular
3.	Cardiac Devices Coordinated Registry Network (CD-CRN)	Cardiac
4.	Orthopedic Devices Coordinated Registry Network (Ortho-CRN)	Orthopedic
5.	Devices Intended for Acute Ischemic Stroke Intervention (DAISI-CRN)	Acute ischemic stroke
6.	Venous Access National Guideline & Registry Development Coordinated Registry Network (VANGUARD-CRN)	Venous access
7.	Robotic Surgery Coordinated Registry Network (Robotic-CRN)	Robotic surgery
8.	Study of Prostate Ablation Evidence Development (SPARED-CRN)	Prostate ablation
9.	Temporo-mandibular Joint Coordinated Registry Network (TMJ-CRN)	Temporomandibular joint
10.	National Breast Implants Registry (NBIR)	Breast implants
11.	Obesity CRN	Obesity devices
12.	End Stage Kidney Disease Coordinated Registry Network (ESKD-CRN)	End stage Kidney disease
13.	Abdominal Core	Abdominal Core

CRN Maturity Framework**

FHIR® enabled/FHIR® enhanced



Example: Mature CRN (VQI/VISION)



Linkage Breadth:
88 % of all EVAR patients
93 % of all AAA patients

*Recommendations for a National Medical Device Evaluation System Strategically Coordinated Registry Networks to Bridge Clinical Care and Research (accessed at: <https://www.fda.gov/media/93140/download>)

** Sedrakyan A, Marinac-Dabic, D., Campbell, B., Aryal, S., et al. Advancing the Real-World Evidence for Medical Devices through Coordinated Registry Networks BMJ Surgery, Interventions, & Health Technologies 2022; In Press.

Registries and CRNs: Intersections of FDA, MDEpiNet and NEST



CRNs - Key Concepts

Embedded in routine practice (better, faster , cheaper)

Strategically coordinated/harmonized within the ecosystem

- Clinical core data sets (including PRO where possible)
- Informatics solutions (including UDI, SDC)
- Sustainability (value propositions, ROI, maturity models)

Network

- Term was " Coined" for registries - but applies beyond

Include national and international/global opportunities

Coordinated Registry Networks (CRNs)

CRNs are the real-world data sources encompassing strategically partnered electronic health information systems serving one or more clinical area (e.g. orthopedic, vascular, abdominal hernia etc.)

The CRNs build on the national/regional registry(ies), strategically harmonize data elements and link data to comparable data across the systems (e.g. EHR, administrative claims, patient generated data etc.)

Complementary clinical conditions areas can be harmonized via family of CRNs (e.g. [WHT-CRN](#) harmonizes registries in fibroid, SUI, POP)

CRNs from diverse clinical areas are further strategically aligned though [CRN Learning Community](#), established and coordinated by the MDEpiNet via grant from FDA

Office of the Assistant Secretary for Planning and Evaluation (ASPE). Developing a Strategically Coordinated Registry Network (CRN) for Women’s Health Technologies. <https://aspe.hhs.gov/developing-strategically-coordinated-registry-network-crn-womens-health-technology>.

Office of the Assistant Secretary for Planning and Evaluation (ASPE). Bridging the PCOR Infrastructure and Technology Innovation through Coordinated Registry Networks (CRN) Community of Practice. <https://aspe.hhs.gov/bridging-pcor-infrastructure-and-technology-innovation-through-coordinated-registry-networks-crn-community-practice>

Birth of the CRN Concepts

Recommendations for a National Medical Device Evaluation System

Strategically Coordinated Registry Networks to Bridge Clinical Care and Research



A Report from the Medical Device Registry Task Force & the Medical Devices Epidemiology Network

BRIDGING UNMET CLINICAL CARE AND CLINICAL RESEARCH NEEDS WITH STRATEGICALLY COORDINATED REGISTRY NETWORKS

Report from the National Medical Device Registry Task Force & The Medical Devices Epidemiology Network

Mitchell W. Krucoff, Sharon Lise Normand, Fred Edwards, Theodore Lystig, Eve Ross, Elise Berliner, Kristi Mitchell, James Tchong, David Blaser, Ralph Brindis, Jack Cronenwett, Pamela Gavin, Linda Harrington, Amy Helwig, Kevin Larsen, William Maloney, Matthew McMahon, Bray Patrick-Lake, John Rumsfeld, Julia Skapik, Art Sedrakyan, Danica Marinac-Dabic

VIEWPOINT

Bridging Unmet Medical Device Ecosystem Needs With Strategically Coordinated Registries Networks

Mitchell W. Krucoff, MD
Division of Cardiology, Department of Medicine, Duke University Medical

In June 2014, the Medical Device Epidemiology Network (MDEpiNet) Public Private Partnership,¹ on behalf of the US Food and Drug Administration Center for Devices and Radiologic Health (CDRH), convened the Medical Device Registries Task Force (MDRTF) (see eAppendix in the

The MDRTF recognized that most existing registries, electronic health records (EHRs), and data sources do not contain all the elements necessary for device evaluations, including device and procedural details, patient descriptors, or long-term outcomes. However, the MDRTF recognized

Strategically Coordinated Registry Networks (CRN)

Principles:

- Link complementary sustainable registries/e-repositories (Professional society registries, EHRs, Claims data)
- TPLC as a true continuum of structured “real world” evidence
- “Dual purpose” existing site-base work flow



CRNs Build on International Models and Standards

“Organized system with a primary aim to increase the knowledge on medical devices contributing to improve the quality of patient care that continuously collects relevant data, evaluates meaningful outcomes and comprehensively covers the population defined by exposure to particular device(s) at a reasonably generalizable scale (e.g. international, national, regional, and health system”).



Partnership between the FDA and Office of the Assistant Secretary for Planning and Evaluation (ASPE)

Office of the Assistant Secretary for Planning and Evaluation (ASPE). Developing a Strategically Coordinated Registry Network (CRN) for Women’s Health Technologies.

<https://aspe.hhs.gov/developing-strategically-coordinated-registry-network-crn-womens-health-technology>.

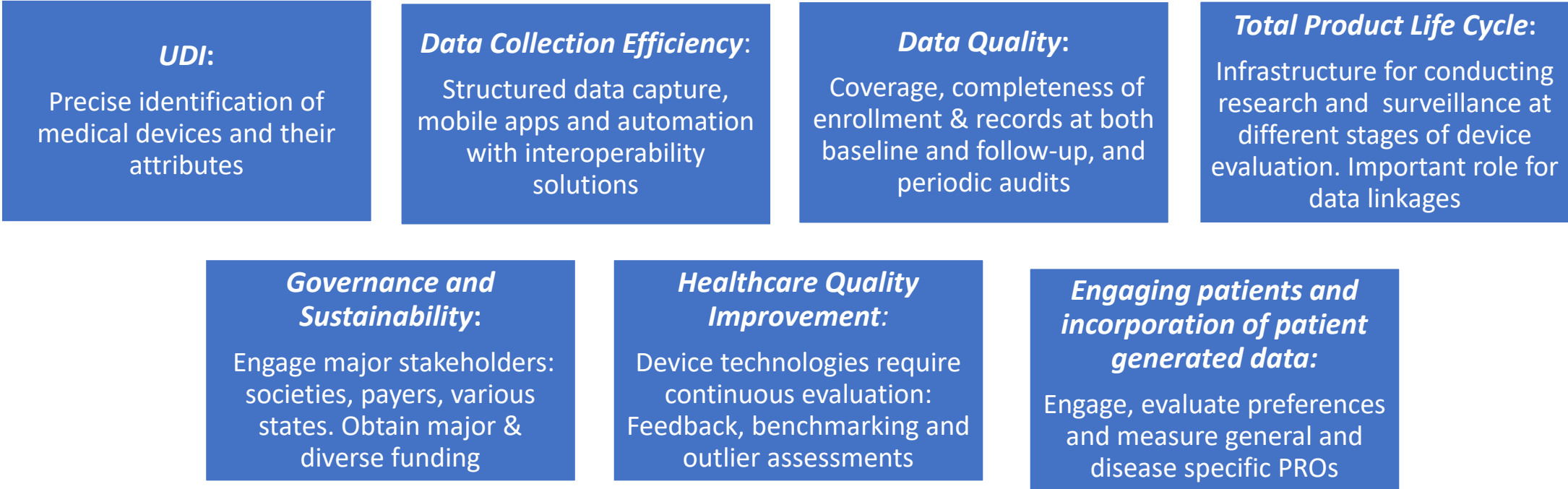
Office of the Assistant Secretary for Planning and Evaluation (ASPE). Bridging the PCOR Infrastructure and Technology Innovation through Coordinated Registry Networks (CRN) Community of Practice. <https://aspe.hhs.gov/bridging-pcor-infrastructure-and-technology-innovation-through-coordinated-registry-networks-crn-community-practice>

The screenshot shows the MDEpiNet website header with navigation links: Home, About Us, CRNs, News & Events, Resources, and Search. Below the header is a dark blue banner with the text 'Coordinated Registry Networks' in white. Underneath the banner is a white box containing the following text: 'Coordinated Registry Networks (CRNs) are a key MDEpiNet strategy to bring together real-world data from a variety of sources to address the needs of device evaluation for multiple stakeholders. The CRN approach circumvents the limitations of traditional registries and data repositories by building linked data systems from multiple sources.'

<https://www.mdepinet.net/coordinated-registry-networks>

Framework of Maturity of CRNs and Registries

7 Key Domains and 5 Levels of Maturity



- Level 1. Early Learner**
- Level 2. Making progress**
- Level 3. Defined path to success**
- Level 4. Well managed**
- Level 5. Optimized**

Example: Optimized Data Collection Efficiency

Technologies are in place (e.g. structured data extraction from EHRs/ mobile apps for all core minimum data elements, and there is a full adoption and integration of data and terminology standards (assumes complete interoperability))

* Paper accepted for publication in BMJ-SIT, expected April, 2022

Example: Data Collection Efficiency Domain

- Extent to which the registry is embedded in the healthcare quality improvement system so that data collection occurs as part of care delivery

Level 1. Early Learner

Heavy burden with ad hoc data elements on a project basis but without an agreement on clinically relevant minimum core data elements

Level 2. Making progress

Level 3. Defined Path to Success

Level 4. Well-Managed

Level 5. Optimized

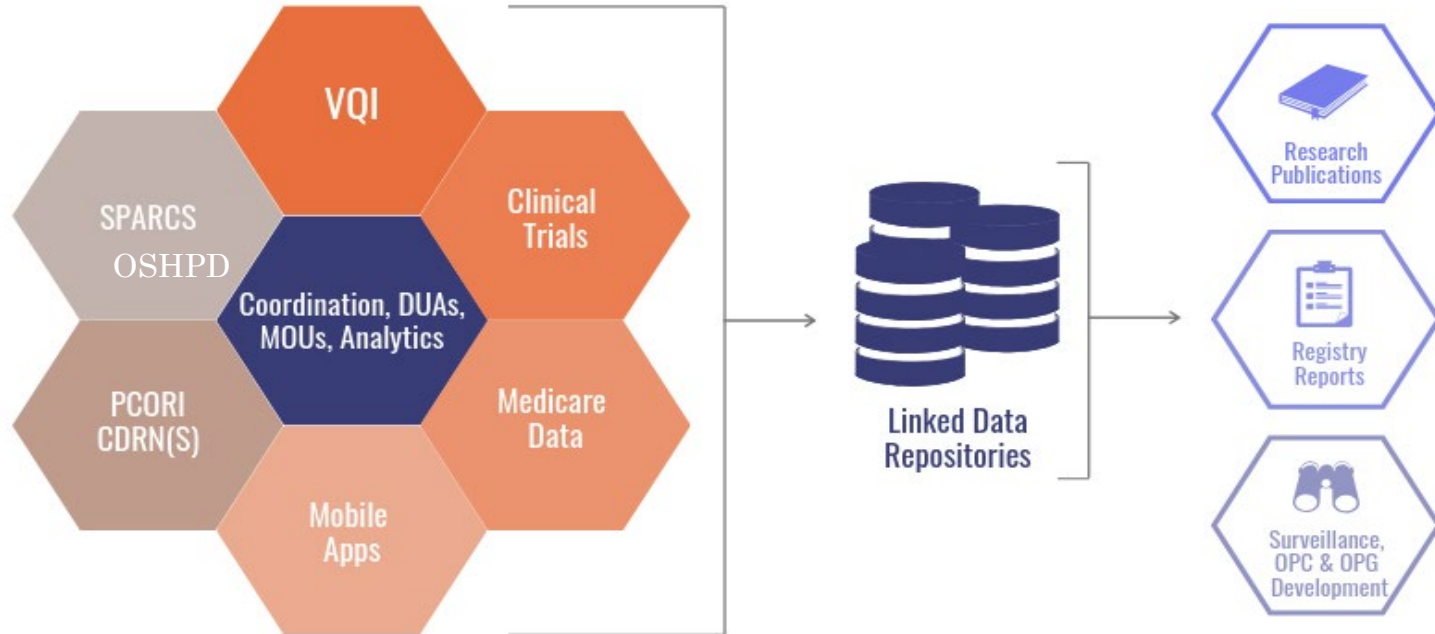


Technologies are in place (e.g. structured data extraction from EHRs/ mobile apps for all core minimum data elements, and there is a full adoption and integration of data and terminology standards (assumes complete interoperability)

Example of a Mature CRN

CRNs typically include data from national registry, claims data, EHRs, PGHD.

In the case of VISION, the CRN also includes the (NY- SPARCS and CA- OSHPD), PCORNet, and clinical trial data tailored for multiple uses.



Total Procedures Captured (as of 1/1/2022)		905,355
Peripheral Vascular Intervention		305,540
Carotid Endarterectomy		168,754
Infra-Inguinal Bypass		71,889
Endovascular AAA Repair		69,508
Hemodialysis Access		68,362
Carotid Artery Stent		67,413
Varicose Vein		50,909
Supra-Inguinal Bypass		23,214
Thoracic and Complex EVAR		23,450
Lower Extremity Amputations		23,300
IVC Filter		16,715
Open AAA Repair		15,861
Vascular Medicine Consult		376
Venous Stent		64

30 publications /
6 validation studies in
high impact journals

Linkage Breadth:
88 % of all EVAR patients
93 % of all AAA patients

Linkages: 2002 – 2019
Up to 15 years of follow up – Mean 3-4 years
415,616 patients captured in current linkage efforts
14, 000 patients captured in current validation efforts
Amputation laterality (Yale, Dartmouth, ~ 4,000 patients, ongoing)
Stroke after carotid revascularization (multisite, ~10,000 patients, initial stages)
Thoracic reinterventions after TEVAR (planning stages)

880 clinical sites
3000 providers
> 200 types of devices

US CRN Learning Community



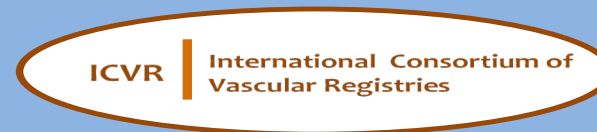
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- **Crosspollination areas:** clinical, data science, epidemiology/statistics, digital tools, blockchain, imaging, international
- **16 tools shared and applied :** (a) harmonization efforts in CRN architecture and data exchange (logic model for clinical work flow), (2) methods (validation, data linkages, outcomes studies, ROI, ML/AI), (3) mobile apps (patient and provider-based) and others

Registries Without Borders:

International Consortium of Vascular Registries (ICVR)

- Launched in November 2014
- Supported by the MDEpiNet Analytic Center at Weill Cornell Medicine and High Performance Integrated Virtual Environment (HIVE) – under grant from FDA
- Represents a collaboration of 28 regional and national registries:
 - FDA and Vascular Device Manufacturers are at the table
- Embraced the CRN concept
- Rich portfolio of harmonization, validation and outcomes studies
- Collaborative study under way for labeling change in rAAA space



**International Consortium of Vascular Registries
Spring Meeting (Hybrid)
Granada, Spain
Thursday May 19, 2022**



CRNs:

Pragmatic Advantages & Efficiencies

- Registries and Beyond !
- Existing systems participating in CRNs:
 - Minimize re-engineering (cost, time to implement)
 - Leverage established clinical work flow
 - Established governance & sustainability
- Strategic data sharing across participating CRN systems:
 - Flexibility in design: accommodate emerging e-systems
 - Customizable across device, stakeholder and other diversity
 - Builds architectural consistency (use/re-use of structured data sets & data sharing solutions across device areas)

CRNs are Already Producing the Regulatory Grade Evidence



Examples of Real-World Evidence (RWE) Used in Medical Device Regulatory Decisions

Selected examples with file summaries, details on real-world data source, populations, and descriptions of use

Center for Devices and Radiological Health

- Used for postmarket surveillance, mandated post-approval studies, labeling expansions
- ROI Studies documented up to 550% Return on Investment
 - a. Pappas G, Berlin J, Avila-Tang E, et al. Determining value of Coordinated Registry Networks (CRNs): a case of transcatheter valve therapies **BMJ Surgery, Interventions, & Health Technologies** 2019;1:e000003. doi: 10.1136/bmjst-2019-000003
 - b. Cronenwett JL, Avila-Tang E, Beck AW, Bertges D, Eldrup-Jorgensen J, Resnic FS, Radoja N, Sedrakyan A, Schick A, Smale J, Bloss RA, Phillips P, Hasenbank M, Wang S, Marinac-Dabic D, Pappas G. Use of data from the Vascular Quality Initiative registry to support regulatory decisions yielded a high return on investment. **BMJ Surg Interv Health Technol.** 2020 Oct 30;2(1):e000039. doi: 10.1136/bmjst-2020-000039. PMID: 35051256; PMCID: PMC8749325.

Registries and CRNs to Advance Evaluation of Technologies

Art Sedrakyan, MD, PhD

Professor, Weill Cornell Medicine,
New York Presbyterian Hospital

Director of Institute For Technologies and Interventional care
Director, MDEpiNet Coordinating & Science Infrastructure Center
Co-Chair, IDEAL Collaboration
Co-Editor-In-Chief, BMJ Surgery Innovations & Technologies

CRNs leverage all RWD to Enrich the Registry

"Organized system that continuously and consistently collects relevant data in conjunction with routine clinical care, evaluates meaningful outcomes and comprehensively covers the population defined by exposure to particular device(s) at a reasonable generalizable scale (e.g., international, national, regional, and health system) with a primary aim to improve the quality of patient care." - International Medical Device Regulatory Forum

1 **Device data:** the registry contains sufficient information to uniquely identify the device. Ideally, the unique device identifier would be included, but when unavailable, the registry would include a combination of identifiers (catalogue number, manufacturer, description)

2 **Quality improvement system:** is part of a healthcare delivery improvement system or evolving into one as device technologies are diffused into practice and need continuing evaluation (including outlier identification).

3 **Beneficial change:** has established mechanisms to bring about beneficial change in healthcare delivery through stakeholder participation, ownership, and intergration into the relevant healthcare systems.

4 **Efficiency:** the registry is embedded in the healthcare delivery system so that data collection occurs as part of care delivery (i.e., not overly burdensome, not highly complicated, not overly costly) and integrated with workflow of clinical teams.

5 **Actionable data:** the registry provides actionable information in a relevant and timely manner to decision makers.

6 **Transparency:** the governance structure, data access, and analytical processes of the registry are transparent.

7 **Linkability:** information in the registry can be linked with other data sources for enhancement, including adequate follow-up achievement.

8 **Total device lifecycle:** the registry can serve as infrastructure for seamless integration of evidence throughout the device lifecycle.

- **CRN is a data and partnership network to achieve the regulatory, clinical and scientific vision of generating RWE for evaluation of technologies and address limitations of any single registry**

Our Current Data Contributing to CRNs



Clinical Data
(Various clinical cohorts, IPD meta-analysis)



Registries (conducting data linkages with registries and claims data e.g. Medicare)



Public and Private Payer Data (state longitudinal discharge datasets, Private insurers)



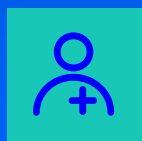
Social Determinants (Various state and national data linkages)



Medicare Data (100% Medicare data on hospitalizations, Part B and carrier data for many clinical cohorts)



EHRs (Collaboration with PCORI CDRNs and Informatics groups)

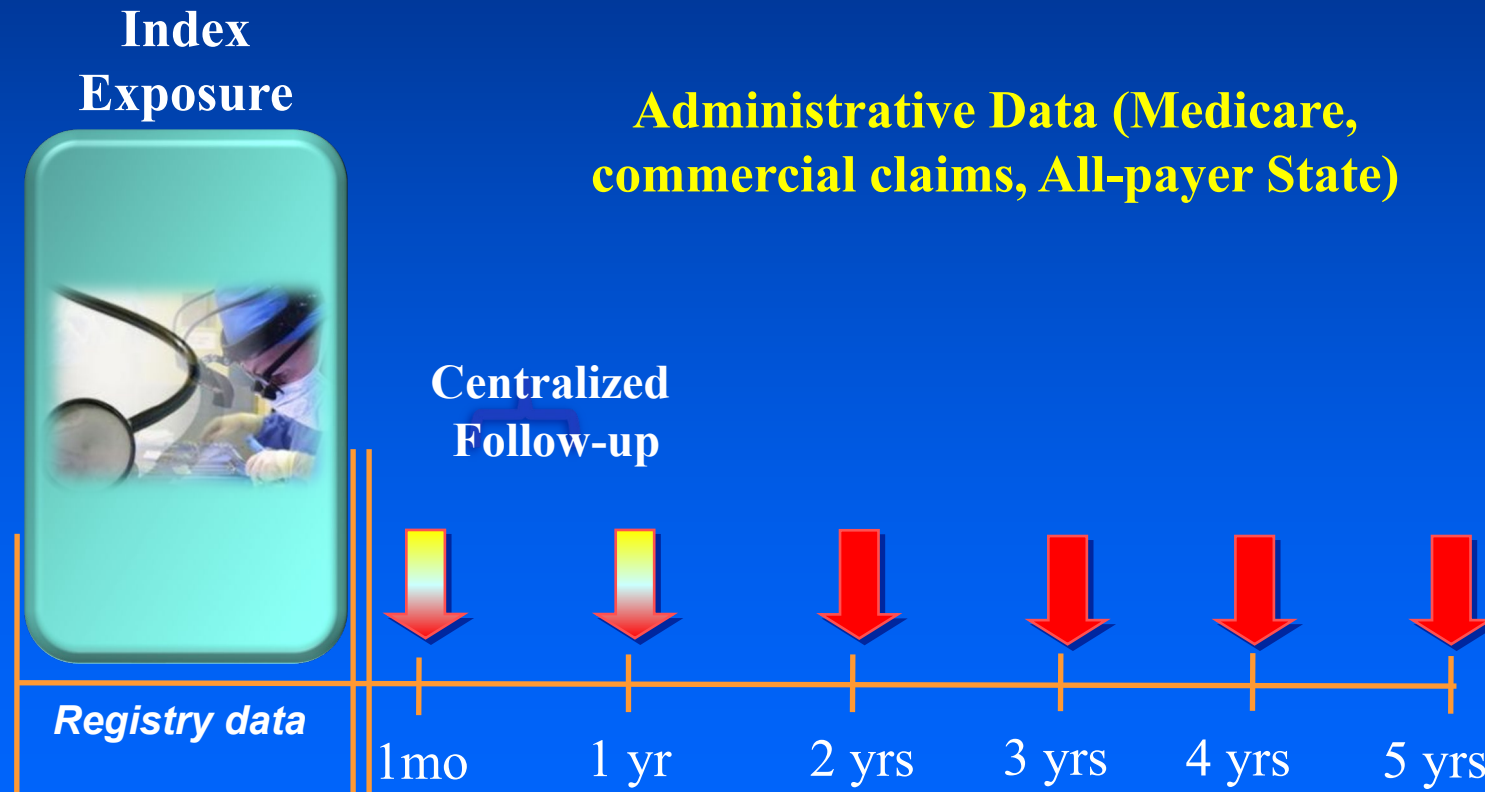


Patient Reported / Generated Data
(Developing mobile apps and collecting PROs)

Key Areas of Focus to Get Good ROI

- Data linkages
- Mobile apps
- Clinician practice help
- Analytics

Data Linkages: VISION Vascular CRN



Mobile apps: Colorectal CRN

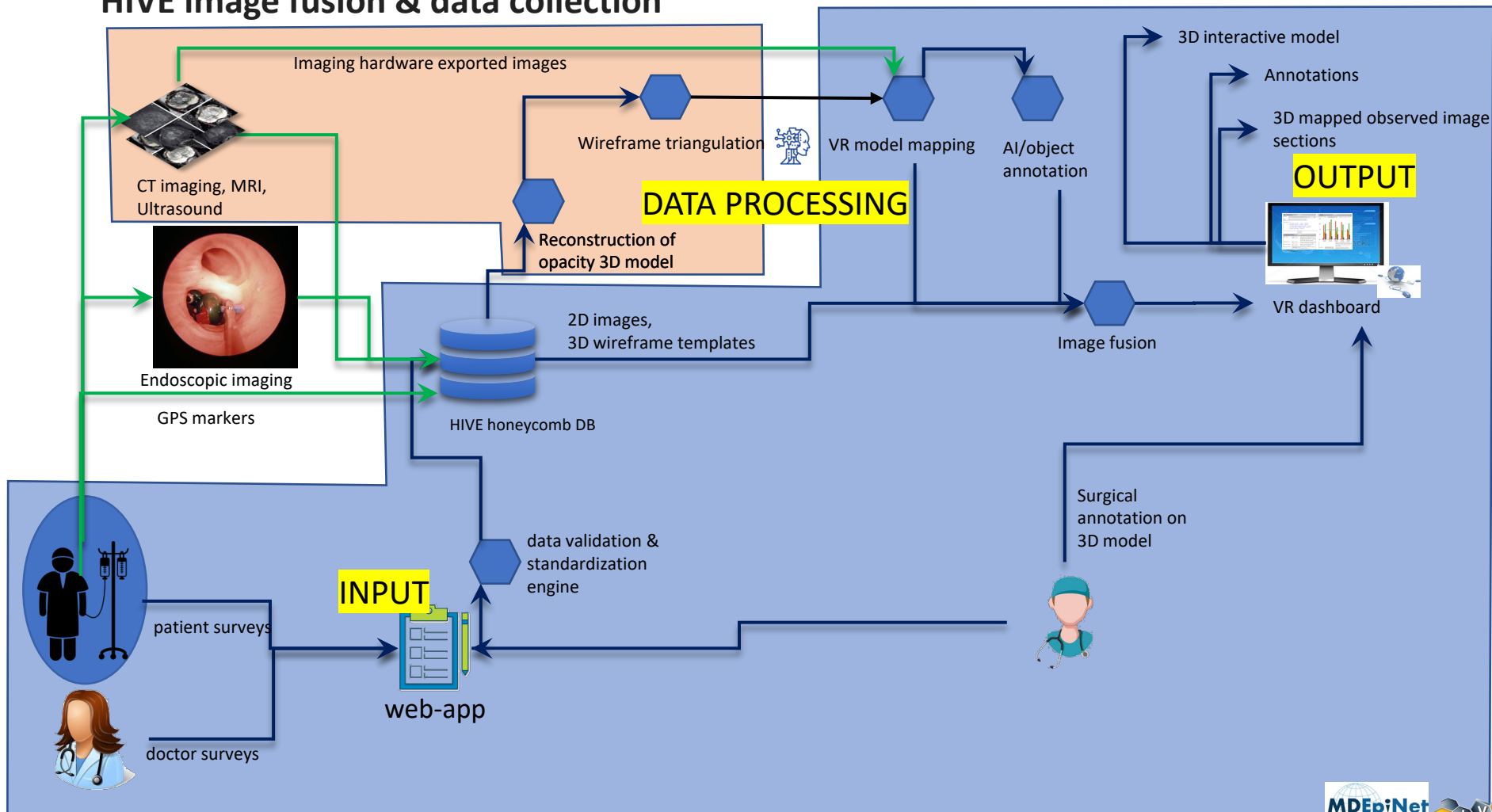
Patients are the key partners and work collaboratively with Doctors

The screenshot shows a survey form on a mobile device. At the top, there is a logo for 'MDEpiNet' with 'H', 'V', and 'E' icons. The survey questions are: 'Would you recommend someone else to use this Web-Application?' with 'yes' and 'no' buttons; 'Please score your overall experience on a 1(worst)-10(best) scale.' with a slider set to 6; 'How can we improve the web-application?' with a text input field; 'Where there any gaps noted in the outcomes that you consider as important?' with a text input field; and 'Do you find the survey' (partially cut off). A blue 'Submit' button is at the bottom.

The screenshot shows a mobile app interface featuring a detailed anatomical diagram of the human digestive system, including the esophagus, stomach, small intestine, large intestine, and rectum. The diagram is color-coded and includes several black lines and letters (A, B, C, D) indicating specific areas of interest. Below the diagram is a 'Date*' input field with the date '11/18/2020' and a calendar icon. At the bottom, there are two buttons: 'Add another item' and 'Remove previous', followed by a blue 'Submit' button.

Registry Data Systems: Value for Clinicians



HIVE image fusion & data collection



Analytics

Example OPCs and OPGs Developed by MDEpiNet

- The freedom from Target Lesion Revascularization (TLR) OPGs at one year in the popliteal artery were 81.3% (PTA), 81.3% (stenting), 80.2% (atherectomy), and 81.1% (any treatments)
- Revision rates after hip and Knee Surgery at two years were 2.1% and 1.7% respectively. Disease specific and general PRO measure based estimates also calculated


Journal of Vascular Surgery

Society for Vascular Surgery

FULL LENGTH ARTICLE | ARTICLES IN PRESS

Registry Assessment of Peripheral Interventional Devices Objective Performance Goals for Superficial Femoral and Popliteal Artery Peripheral Vascular Interventions

Daniel J. Berges, MD • Roseann White, MA • Yu-Ching Cheng, PhD • ... Pablo Morales, MD • Mitchell W. Krucoff, MD • Jack L. Cronenwett, MD • Show all authors

Published: October 17, 2020 • DOI: <https://doi.org/10.1016/j.jvs.2020.09.030>

Abstract

Background

The Superficial Femoral Artery-Popliteal Evidence Development (SPEED) Study Group developed contemporary objective performance goals (OPG) for peripheral vascular interventions (PVI) for superficial femoral (SFA)-popliteal artery disease utilizing the Registry Assessment of Peripheral Interventional Devices (RAPID).

Developing Objective Performance Criteria (OPC) for Outcomes after Hip and Knee Replacement



📍 **Technology of Interest**
Knee and Hip Implants



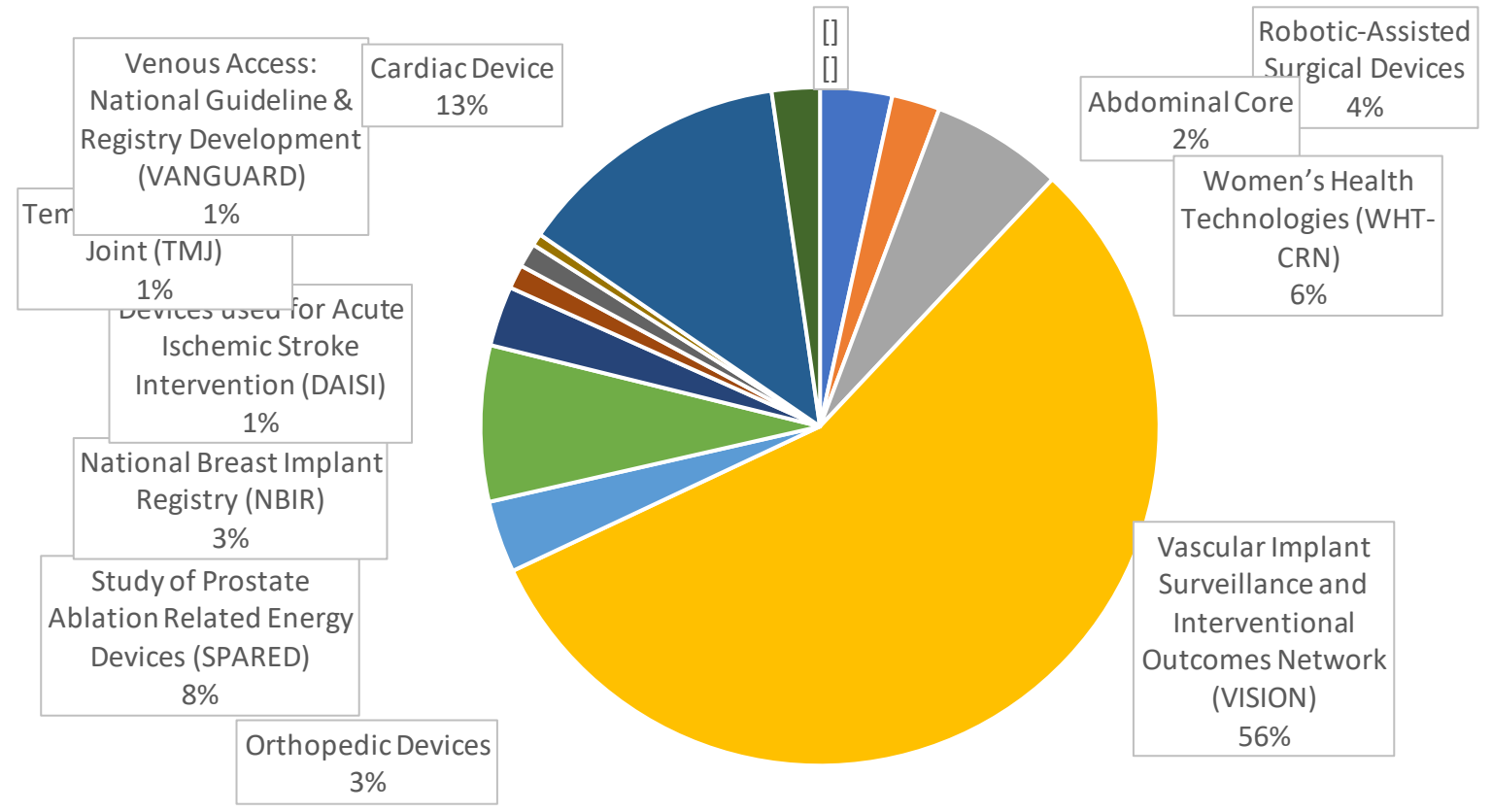
OVERVIEW

The objective of this Test-Case is to develop objective performance criteria (OPC) or goals (OPG) for major outcomes following (Class II or III) implantable device use in primary hip and knee replacements.

Hip and knee joint replacements are the most common procedures in the US, and there has been a continuous increase in the use of these two procedures over time. The major outcome measures following hip and knee replacements are revisions, and quality of life (QoL) changes. However, specific objective measures for outcomes after treatment have not been developed by the healthcare community. An OPC is a target performance that was derived from historical data from clinical studies and/or registries which may be used for comparison of safety or effectiveness endpoints for medical devices.



Manuscripts by MDEpiNet CRN Learning Community by Clinical Area (A total of 175 2017-2022)



Please Send Your Best (And Good) Studies!

BMJ Surgery, Interventions, & Health Technologies



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BMJ

<https://sit.bmj.com/pages/authors/>

Registry report

Registry reports should document specific registry findings that have the potential to improve modern healthcare; examples include results of outlier physician or technology/device analysis, specific quality of care improvement achievements, successful and sustainable implementation of unique device identification, and data linkages leading to long-term outcome assessments. Please review the International Medical Device Regulatory Forum's [essential registry principles document](#) for more information.

More specific studies using registry infrastructure (e.g., IDEAL stage 1-4 studies) should be submitted as research articles.

All registry reports should include the following:

The article title should be short and informative. Titles should not declare the results of the study.

Unstructured abstract/executive summary should be no longer than 350 words and include a crisp summary of the report, including methods and specific registry findings.

Manuscripts should be divided into the following sections:

- **Background**
- **Purpose of the Registry**
- **Aims of the Report**
- **General Methods (Data Collection Process, Data Validation)**
- **Main Findings with Discussion**

We recommend that your main findings section be no longer than eight paragraphs; we also recommend that you use at least several subheadings. This section should include discussion of results, possible explanations of each finding, implications for clinicians and policymakers, and future research plans.

- **Funding Statement**, preferably worded as follows:

"This work was supported by [name of funder] grant number [xxx]. You must ensure that the full, correct details of your funder(s) and any relevant grant numbers are included. This research received no specific grant from any funding agency in the public, commercial or not-for-profit sectors.

- **Competing Interests Statement**

See the [BMJ Author Hub](#) for details on what to include as competing interests.

- **Articles should list each author's contribution individually at the end.**

This section may also include contributors who do not qualify as authors. Please visit the [ICMJE](#) website for more information on authorship.

- **Data Sharing Statement**, such as:

"Technical appendix, statistical code, and dataset available from the Dryad repository, DOI: [include DOI for dataset here]."

Supplementary and raw data can be placed online alongside the article. We may request that you separate out some material into supplementary data files to make the main manuscript clearer for readers.

Following the lead of *The BMJ* and its [patient partnership strategy](#), *BMJ Surgery, Interventions, & Health Technologies* is encouraging active patient involvement in setting the research agenda. As such, we require authors to add a Patient and Public Involvement (PPI) statement in the Methods section. Please see our [FAQs](#) regarding PPI statements.

Word Count: up to 4,500 words

Abstract: up to 350 words

Tables/Figures: up to 8 tables or figures

References: up to 75

Authors: up to 20 (no more than 8 from a single institution)

APC: \$2,800

Thank You!

Email: ars2013@med.cornell.edu

<http://mdepinet.net/>

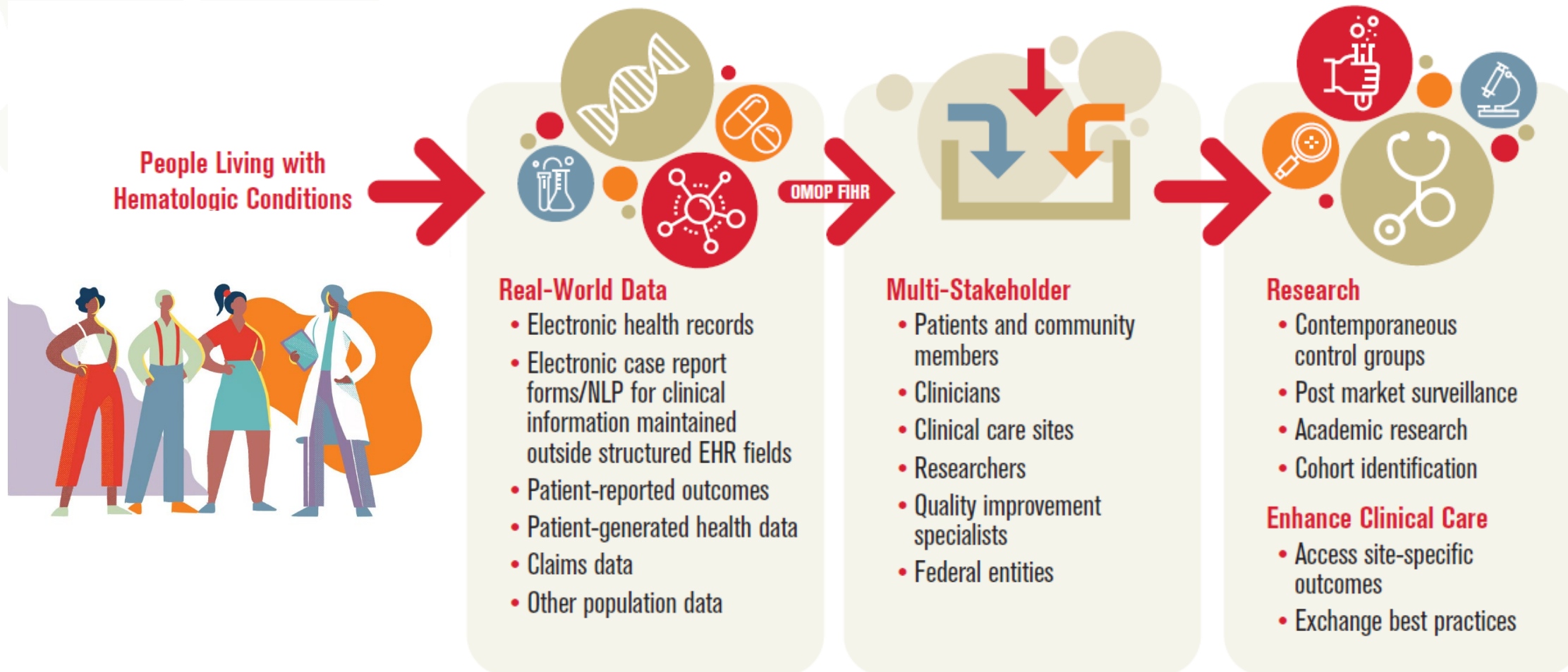


The ASH Research Collaborative Data Hub

William Wood, MD, MPH
Chair, ASH RC Data Hub Oversight Group

The Data Hub:

Capturing Real-World Data to Generate Real-World Evidence for Hematology



Data Submission and Validation

Phase 2



OMOP or FHIR format



Data Hub



Phase 1



Flat File Export

Pre-Populated eCRF



Participating site validates pre-populated EHR data and completes missing data

Data Quality

- ✓ Accuracy
- ✓ Completeness
- ✓ Conformance
- ✓ Plausibility
- ✓ Reproducibility
- ✓ Provenance

Real-World Data: Assessing Electronic Health Records and Medical Claims Data To Support Regulatory Decision- Making for Drug and Biological Products

Guidance for Industry

DRAFT GUIDANCE

This guidance document is being distributed for comment purposes only.

Comments and suggestions regarding this draft document should be submitted within 60 days of publication in the *Federal Register* of the notice announcing the availability of the draft guidance. Submit electronic comments to <https://www.regulations.gov>. Submit written comments to the Dockets Management Staff (HFA-305), Food and Drug Administration, 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852. All comments should be identified with the docket number listed in the notice of availability that publishes in the *Federal Register*.

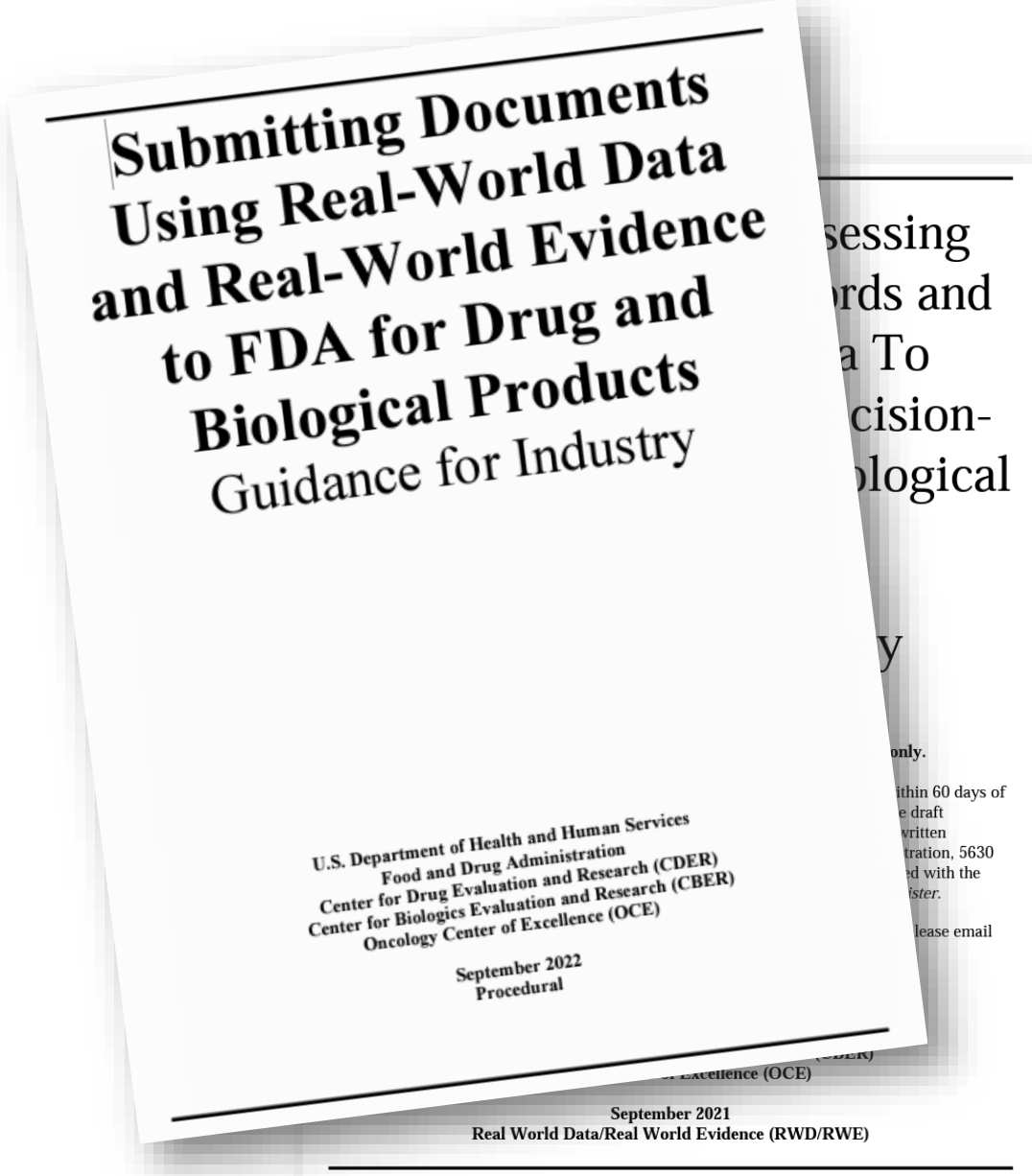
For questions regarding this draft document or the RealWorld Evidence Program, please email CDERMedicalPolicy-RealWorldEvidence@fda.hhs.gov

U.S. Department of Health and Human Services
Food and Drug Administration
Center for Drug Evaluation and Research (CDER)
Center for Biologics Evaluation and Research (CBER)
Oncology Center of Excellence (OCE)

September 2021
Real World Data/Real World Evidence (RWD/RWE)

Data Hub Fit for Use for FDA Regulated Research

- RCTs clinical outcomes
- External control arms
- Observational studies to support an efficacy supplement
- Fulfill post-marketing requirement/commitment



**Submitting Documents
Using Real-World Data
and Real-World Evidence
to FDA for Drug and
Biological Products**
Guidance for Industry

U.S. Department of Health and Human Services
Food and Drug Administration
Center for Drug Evaluation and Research (CDER)
Center for Biologics Evaluation and Research (CBER)
Oncology Center of Excellence (OCE)

September 2022
Procedural

September 2021
Real World Data/Real World Evidence (RWD/RWE)

ePhenotyping

**Rule-
Based**

Value Set
Business Logic

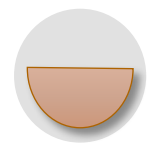
**Model-
Based**

Machine
Learning / AI

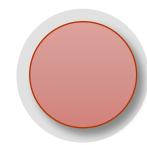
Validating ePhenotyping



Explicit



Reproducible



Valid

Dashboard

Data Quality Report

Cohort Finder

Data Submissions

Data Export

User Management

RESOURCES

SCD Program

Date Range

Age Range

Birth Sex

Genotype

Saved Filters

Clear All Save Filter



Start: May 2019

End: Feb 2021

PARTICIPANT CHARACTERISTICS

ACUTE CARE EVENTS

PHARMACOTHERAPY

CEREBROVASCULAR

RENAL



Pharmacotherapy

Last refreshed on July 07, 2022

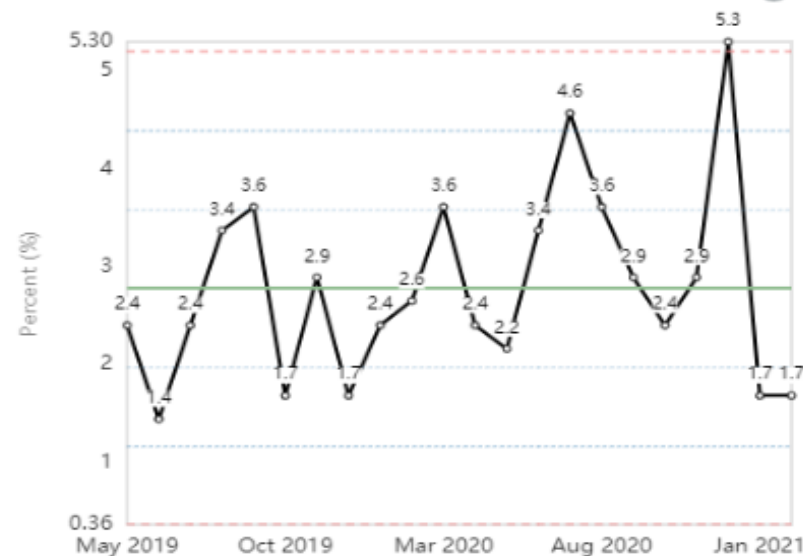
Participant drill down

Disease-Modifying Therapy Prescription Rate



● My Site ● Mean ● 3 standard deviations ● 2 standard deviations ● 1 standard deviation

No Disease-Modifying Therapy Prescription Rate



● My Site ● Mean ● 3 standard deviations ● 2 standard deviations ● 1 standard deviation

Dashboard

Data Quality Report

Cohort Finder

Data Submissions

Data Export

User Management

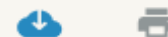
RESOURCES

SCD Program

DQ LEVEL 1 SUBMISSION REPORT

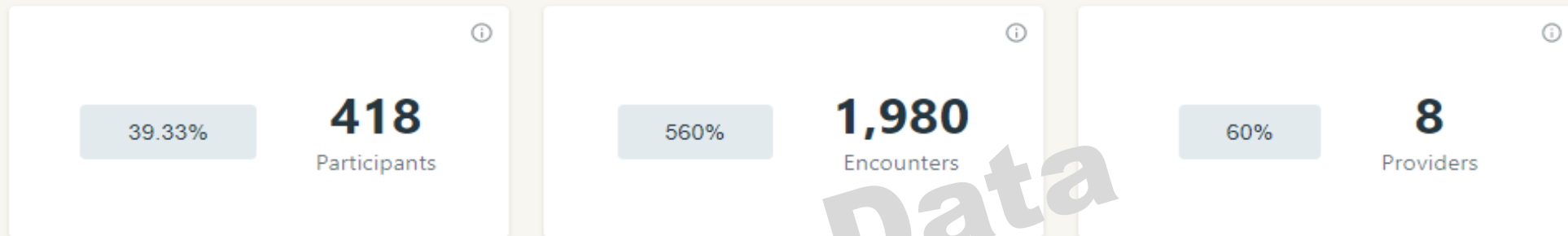
DQ LEVEL 2 CONSISTENCY REPORT

Summary Metrics



The counts represent all SCD data in the Data Hub as of your most recent data submission. The percentages represent the changes in the counts since your previous data submission.

Previous submission: May 04, 2022
Most recent submission: July 07, 2022



Metric	Current Value	% Change
Average Participants per Provider	52.25	-12.92%
Maximum Participants per Provider	339	160.77%
Minimum Participants per Provider	5	-82.14%
Office Encounters	132	100%

Metric	Current Value	% Change
Inpatient Admissions	249	315%
Other Encounters	4	100%
Laboratory Test Orders	192	60%
Imaging Study Orders	60	0%

Sample Data



Sample Data



> COHORT 1

> COHORT 2

Save Cohort X Clear all

Saved Cohort

Cohort Source

Active MM Diagnosis Date

On or after

3-16-2020

Age at Active MM Diagnosis

Birth Sex

Active MM Type

Active MM Isotype

Other Plasma Cell Disorders

COHORT CRITERIA

Cohort 1: 52

INCLUDES:

Diagnosis Of Active Multiple Myeloma
Age at Active Multiple Myeloma Diagnosis: Between 40 - 60
Birth Sex: Male
Active Multiple Myeloma Type: Heavy Chain Myeloma, Light Chain Myeloma

EXCLUDES:

Chromosomal Abnormalities include all of the following:
TP53, 1q gain
ISS Stage: I

Cohort 2: 392

METRICS

Age at First Active MM Diagnosis

- Birth Sex
- Active MM Type & Isotype
- Chromosomal Abnormalities
- Therapy Classes & Agents
- Stem Cell Transplants
- Overall Survival
- Time to Treatment Failure
- Participant Accrual

GRAPH VIEW TABLE VIEW

Age at First Active MM Diagnosis

The distribution of age (years) at first diagnosis of active multiple myeloma for participants in the cohort.



Data Quality Report



MM Site Portal / Data Quality Report

MM Demo - MM



Dashboard

Data Quality Report

Data Submissions

Data Export

My Files

User Management



RESOURCES



MM Program



Sample Data

Submission Frequency: Quarterly
Most Recent Submission Received: July 01, 2022
Days Since Last Submission: 69 days

 **231**
Total Participants

 **132**
Good Condition 

 **7**
Critical Issues 

 **92**
Needs Attention 


Search by Name, MRN, Site Participant ID


Last Updated

Consent

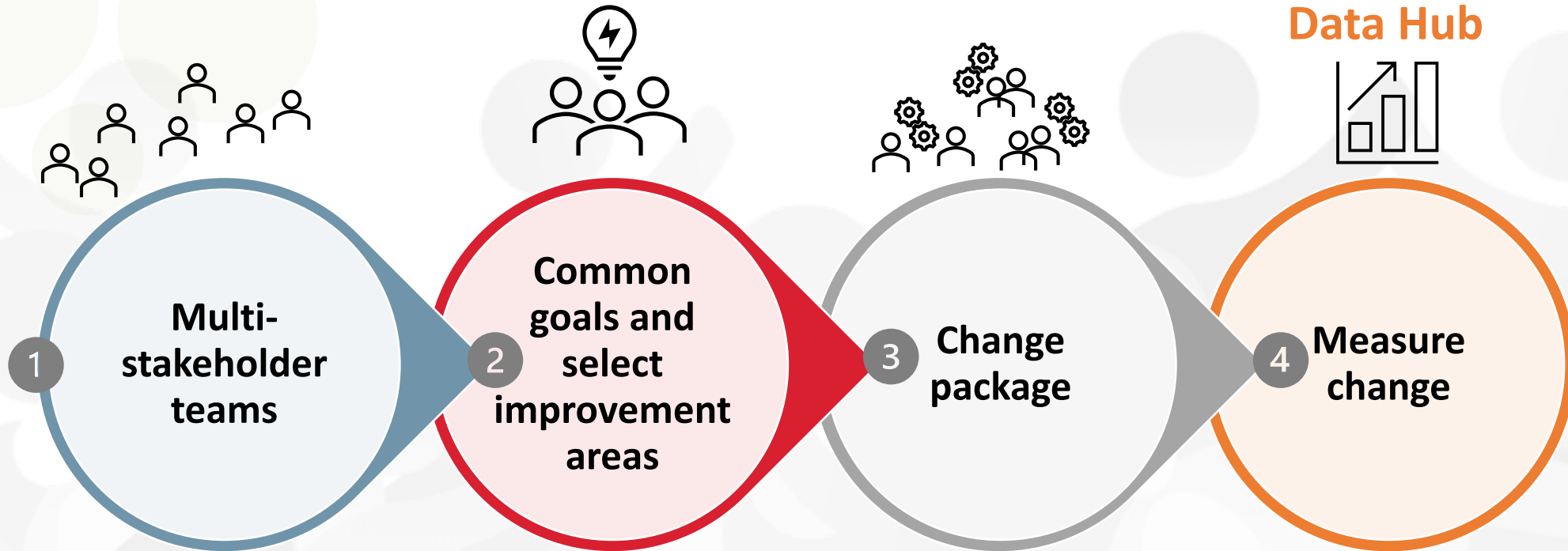
Follow Up Status

Last Follow Up Date

Download Report 

Status	Last Updated	Site Participant ID	Consent	MRN	Last Name	First Name	DOB	Follow Up Status	Last Follow Up Date	
	12-27-2021	913		5390344996	Grancher	Berty	01-12-1959	Ongoing	06-06-2021	Details 
	11-09-2021	651		7781876280	Lewis	Kaile	09-11-1962	Ongoing	06-06-2021	Details 
	11-09-2021	653		8338648267	Webster	Harriott	07-19-1941	Ongoing	05-17-2021	Details 
	11-09-2021	666		3567193415	Fieldhouse	Rhys	10-31-1970	Ongoing	05-22-2021	Details 
	11-09-2021	704		8615310807	Taffley	Sheffie	07-15-1968	Ongoing	05-22-2021	Details 

Data Hub-powered SCD Learning Community



Federal Collaborations

- HHS Office of Minority Health
- NHLBI
- FDA

Real-World Evidence Initiative

Engage stakeholders

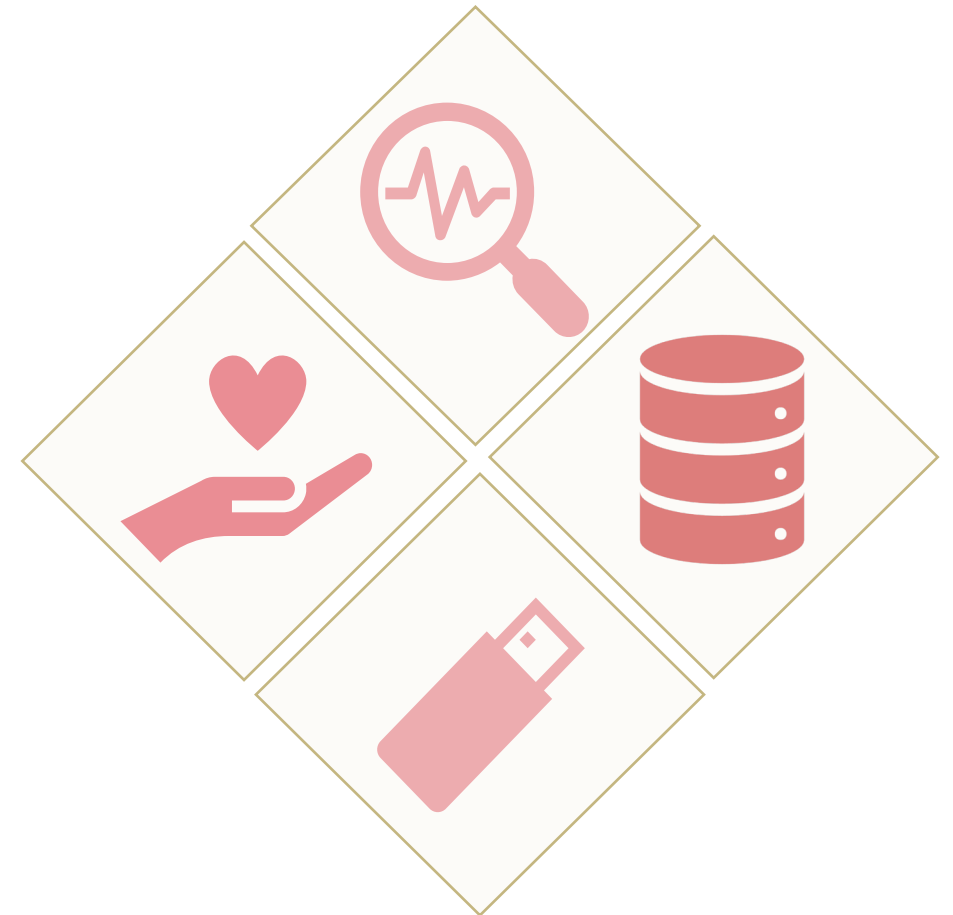
*Support the
development of the
ASH RC's Data Hub*

*Explore methods for
"Accelerating
Innovations for Sickle
Cell Disease with
Real-World Evidence"*

Results and Recommendations

Four Topic Areas:

1. Data Fit for Use
2. Data Access and Use
3. Data Sources, Including Patient Experience Data
4. Sustainability



Public Comment Open Now!

Comments due no later than **October 15, 2022** and can be [submitted here](#).



[Draft recommendations](#)




Thank You!

 info@ashrc.org

 [@ash_collab](https://www.instagram.com/ash_collab)

 [@ashcollab](https://twitter.com/ashcollab)

 [@ASHResearchCollaborative](https://www.facebook.com/ASHResearchCollaborative)

The Vascular Quality Initiative – Vascular Implant Surveillance and Interventional Outcomes Network (VQI-VISION): Building Infrastructure For Success

Philip Goodney, MD and Kayla Moore, MS
On behalf of the VQI VISION Steering
Committee

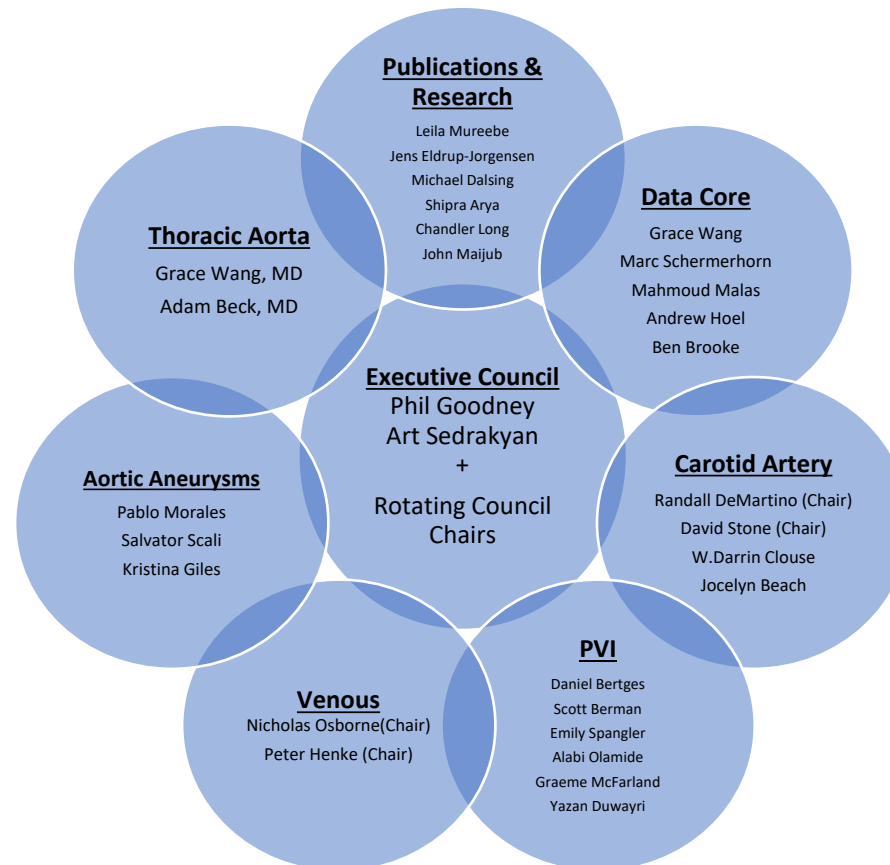


Disclosures

- **FDA U01FD005478 (Sedrakyan = PI)**
- **NIA U01AG046830 (Skinner = PI)**
- **PCORI ME-1503-28261 (O'Malley = PI)**
- **NEST-CC Pilot Award (Sedrakyan = PI)**
- **AHA SFRN (Creager / Goodney = Project PI)**
- **SVS-PSO / Society for Vascular Surgery**
- **AHRQ R21 HS021581 (Goodney = PI)**



VISION Steering Committee



Goals

- **Outline the VQI-VISION coordinated registry network**
- **Share Key Findings from VQI-VISION, and VISION Infrastructure**
- **Describe what is next for VQI-VISION**



Goals

- **Review data shared at FDA panel in November outlining the role of device type in long-term EVAR outcomes.**
- Summarize FDA's guidance for next steps
- Outline the Long term EVAR Assessment and Follow up (LEAF) System, our multi-stakeholder plan to meet FDA's goals for long-term post-EVAR surveillance



Vascular Implant Surveillance and Interventional Outcomes Network (VQI-VISION)

PRACTICE MANAGEMENT



The Vascular Implant Surveillance and Interventional
Outcomes (VISION) Coordinated Registry Network:
An effort to advance evidence evaluation for
vascular devices

Greg Tsougranis, BS,^{a,b,c} Jens Eldrup-Jorgensen, MD,^d Daniel Bertges, MD,^e Marc Schermerhorn, MD,^f
Pablo Morales, MD,^g Scott Williams, MS, RAC,^h Roberta Bloss, MS,ⁱ Jessica Simons, MD, MPH,^j
Sarah E. Deery, MD, MPH,^k Salvatore Scali, MD,^l Graham Roche-Nagle, MD, MBA, ME,^m
Leila Mureebe, MD, MPH, MMC,ⁿ Matthew Mell, MD,^o Mahmoud Malas, MD, MHS,^p Brian Pullin, MS,^g
David H. Stone, MD, MS,^{a,b} Misti Malone, PhD,^g Adam W. Beck, MD,^q Grace Wang, MD, MS,^r
Danica Marinac-Dabic, MD, PhD,^g Art Sedrakyan, MD, PhD,^s and Philip P. Goodney, MD, MS,^{a,b} *Lebanon and
Hanover, NH; White River Junction and Burlington, Vt; Portland, Me; Boston, Mass; Rockville, Md; Bloomington, Ind;
Flagstaff, Ariz; Gainesville, Fla; Toronto, Ontario, Canada; Durham, NC; Davis, San Diego, Calif; Birmingham, Ala;
Philadelphia, Pa; New York, NY*

How this works....

Start With VQI Data



Mr. Jones (name, SS#)
Clinical Factors (comorbidities)
Implant Data (Graft XYZ)
Surgical Details (how it was placed)
Surgeon Details
Hospital Information
Short term complications



MEDICARE HEALTH INSURANCE	
1-800-MEDICARE (1-800-633-4227)	
NAME OF BENEFICIARY	JOHN DOE
MEDICARE CLAIM NUMBER	000-00-0000-A
SEX	MALE
IS ENTITLED TO	EFFECTIVE DATE
HOSPITAL (PART A)	01-01-2007
MEDICAL (PART B)	01-01-2007
SIGN HERE →	_____

Data Linkages to Medicare Claims
The Dartmouth Institute



Measure Long-Term Events:

- **Survival**
- **Effectiveness of the Procedure**
- **Long-Term Device Failures/Revisions**
- **Cost**

Our "VISION" for the Data In VISION

Accurately Measure Long-Term Post-Surgical Outcomes:

Clinic
Da
Re
proc

- **Survival**
- **Reintervention**
- **Need for further procedures**

**generalizable, real-world effectiveness
research**

Long-term Reintervention After Endovascular
Aneurysm Repair

Jesse A. Columbo, MD, MS,*†‡§✉ Pablo Martinez-Cambor, PhD,
Bjoern D. Suckow, MD, MS,* Andrew W. Hoel, MD,¶ David H. Stone,
Marc L. Schermerhorn, MD,** Art Sedrakyan, MD, PhD,†† and Philip P. Goodney, MD, MS,†‡§
on behalf of the Society for Vascular Surgery's Vascular Quality Initiative

A comparison of reintervention rates after endovascular
aneurysm repair between the Vascular Quality Initiative
registry, Medicare claims, and chart review

Jesse A. Columbo, MD,^{a,b,c,d,e} Ravinder Kang, MD, MS,^{a,b,c,d,e} Andrew W. Hoel, MD,^f Jeanwan Kang, MD,^{a,d}
Kathleen A. Leinweber, BA,^d Karissa S. Tauber, BA,^d Regis Hila, BA,^d Niveditta Ramkumar, MPH,^e
Art Sedrakyan, MD, PhD,^g and Philip P. Goodney, MD, MS,^{a,c,d,e} Lebanon and Hanover, NH; White River Junction, Vt;
Chicago, Ill; and New York, NY



Circulation

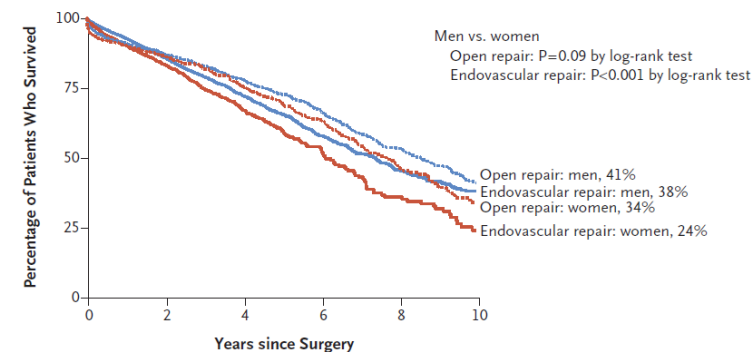
RESEARCH LETTER

Characterization of Endovascular Abdominal
Aortic Aneurysm Repair Surveillance in the
Vascular Quality Initiative

Each year in the United States, >30,000 patients undergo endovascular abdominal aortic aneurysm repair (EVAR).¹ Guidelines from the Society for Vascular Surgery and American College of Cardiology Foundation/American

Zachary J. Wanken, MD
Spencer W. Trooboff, MD,
MBA

The NEW ENGLAND JOURNAL of MEDICINE



No. at Risk			
Open repair			
Men	1847	364	108
Women	813	142	40
Endovascular repair			
Men	9454	441	84
Women	2325	111	20

Figure 1. Survival among Men and Women Undergoing Elective Endovascular or Open Surgical Repair of Abdominal Aortic Aneurysm.

The study involved 14,439 patients who underwent elective repair (endovascular or open) of abdominal aortic aneurysm within the Vascular Quality Initiative.^{3,4}



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Data Analysis

Data Analysis

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RAC Approved Project Search

SVS VQI VISION

SVS PSO Data Analysis Guidelines for Use

Industry Project Charters and Process

CREST-2 Randomized Control Trial

SVS VQI Vascular Implant Surveillance and Interventional Outcomes Network (VISION)

Overview

The SVS VQI [Vascular Implant Surveillance and Interventional Outcomes Network \(VISION\)](#) is a partnership between the SVS VQI and [MDEpiNet](#) that directly supports the mission of the SVS VQI to improve the quality, safety, effectiveness and cost of vascular healthcare by collecting and exchanging information. VISION links SVS VQI registry data to Medicare claims to generate novel registry-claims linked datasets. The datasets combine the clinical detail from the SVS VQI with long-term outcome variables derived from Medicare claims. VISION data is used to generate center-specific feedback reports called, [Survival, Reintervention and Surveillance \(SRS\)](#) and to analyze device performance and long-term outcomes of vascular surgical techniques. Use of the data is governed by a Data Use Agreement (DUA) between Weill Cornell Medical College and the Center for Medicaid and Medicare Services (CMS).

Dataset Description

Medicare-Match data are available for EVAR, OAAA, PVI, TEVAR, CAS, INFRA and SUPRA datasets. For each dataset, the following SVS VQI-Medicare derived outcomes are available:

1. Death
2. Procedure-specific adverse outcome (stroke, aortic rupture, amputation)
3. Reintervention (repeated vascular procedures)

Data Analysis Updates

[National RAC Submissions Link](#)

[Latest RAC Approved Project List](#)

[RAC Approved Project List - Updated December 2021](#)

NEW SVS PSO Instructional Videos for Requesting VQI Data

[Requesting VQI Data - Part 1](#)



Long-t

Jesse
Bjoern
Marc L. So

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Aortic
Vascu

Each year...
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Spencer W. Trooboff, MD,
MBA

within the Vascular Quality Initiative.^{3,4}



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Data Analysis

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- SVS VQI Publications
- RAC Approved Project Search
- SVS VQI VISION**
- SVS PSO Data Analysis Guidelines for Use
- Industry Project Charters and Process
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[Requesting VQI Data - Part 1](#)



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Aortic
Vascu

Each year...
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Spencer W. Trooboff, MD,
MBA

within the Vascular Quality Initiative.^{3,4}

Key Issue

**Device-Specific Late
Reintervention After EVAR**

Role of Real-World Evidence

FDA Executive Summary

Circulatory System Devices Panel Meeting

November 3, 2021

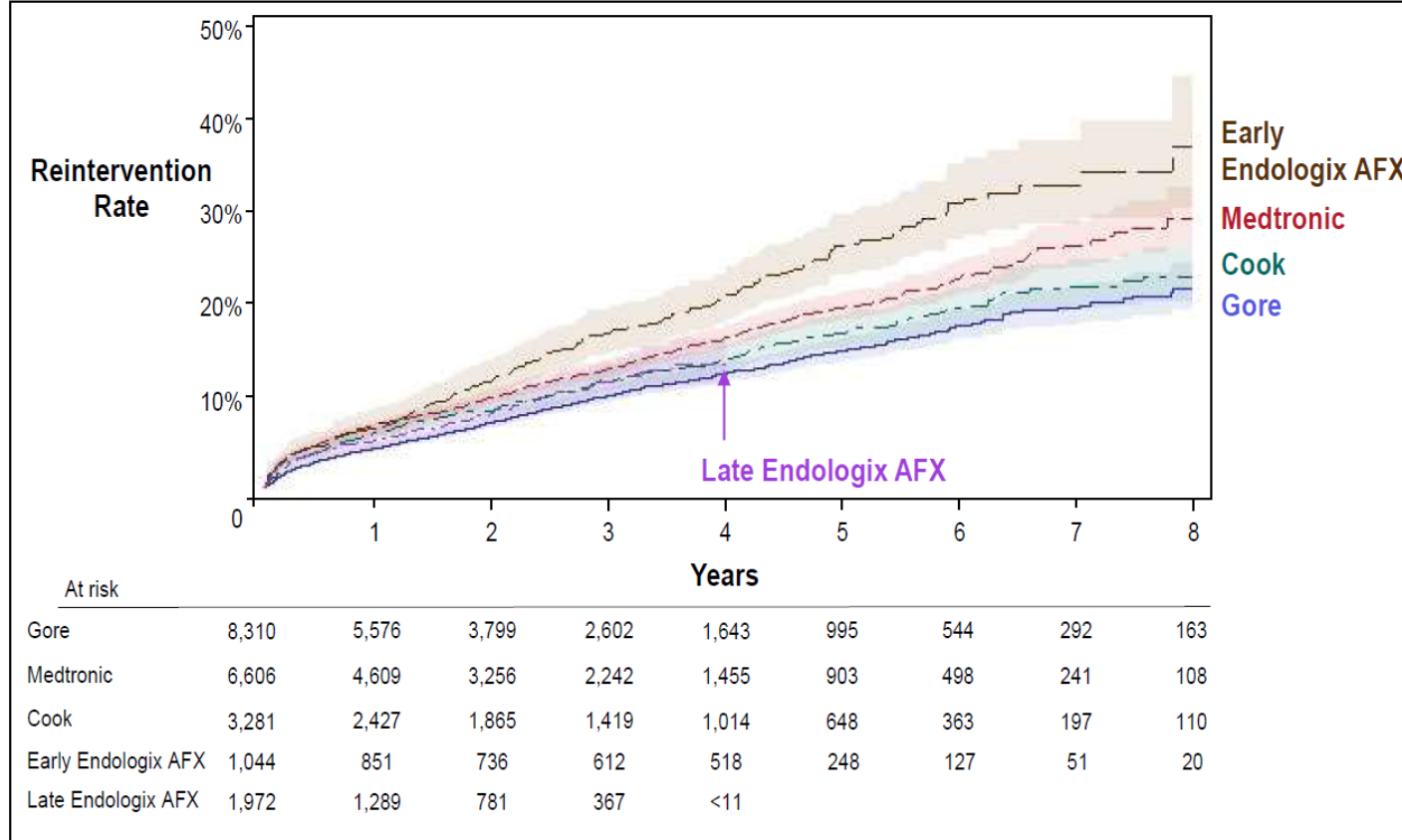
General Issues Panel

2 opposing forces

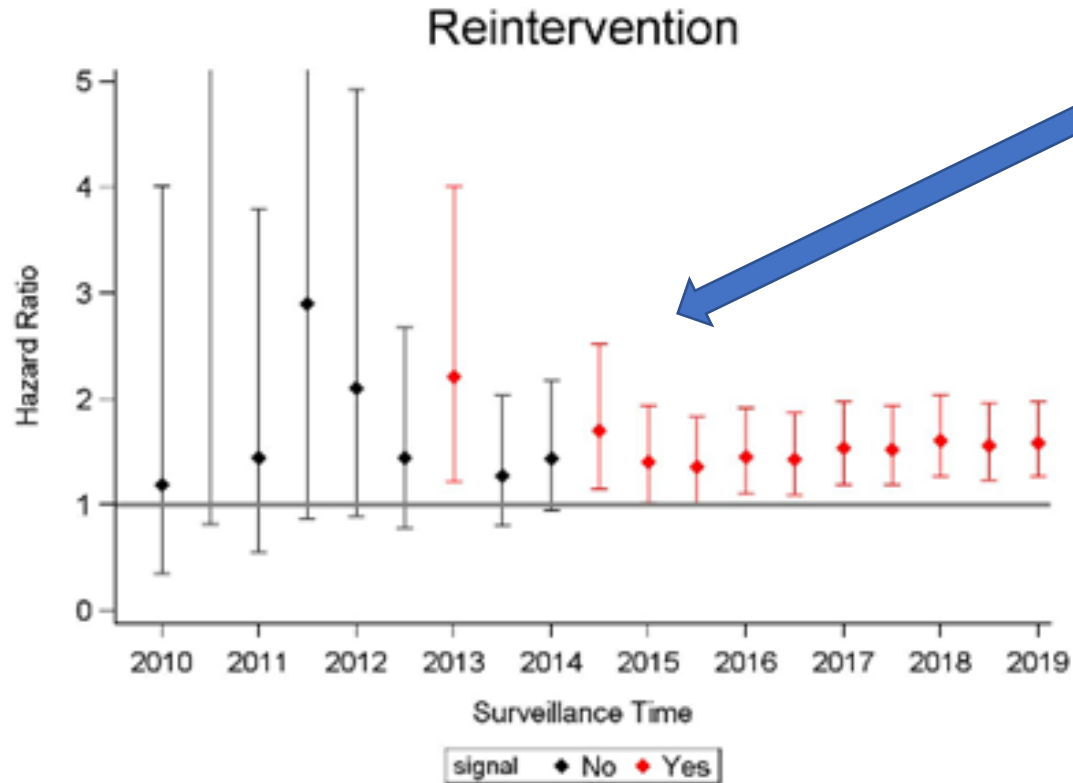
Real World Surveillance of AAA Endovascular Stent Grafts

Reintervention, By Device Type (VQI/VISION) (In Press, BMJ)

Figure 1.A: Long-term rate of reintervention across the different device manufacturer types.



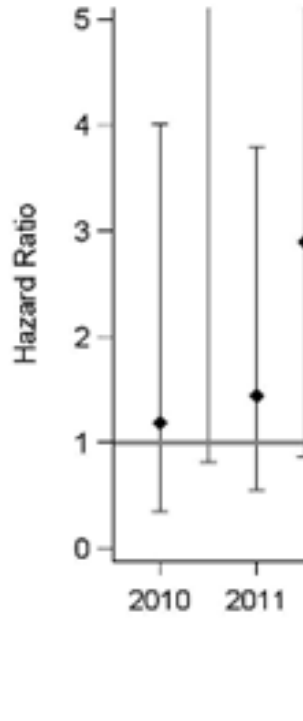
Signals Detected In **LEAF** Could Prompt Clinical Review in VQI, Imaging Evaluation, and Further Data collection



**Signal
Detection in
LEAF**

**Secondary
data elements
(imaging,
chart review)**

Signals Detected In LEAF Could Prompt Clinical Review in VQI, Imaging Evaluation, and Further Data collection



How Does VQI-VISION Create and Curate Data For Surveillance?

Signal Detection in LEAF



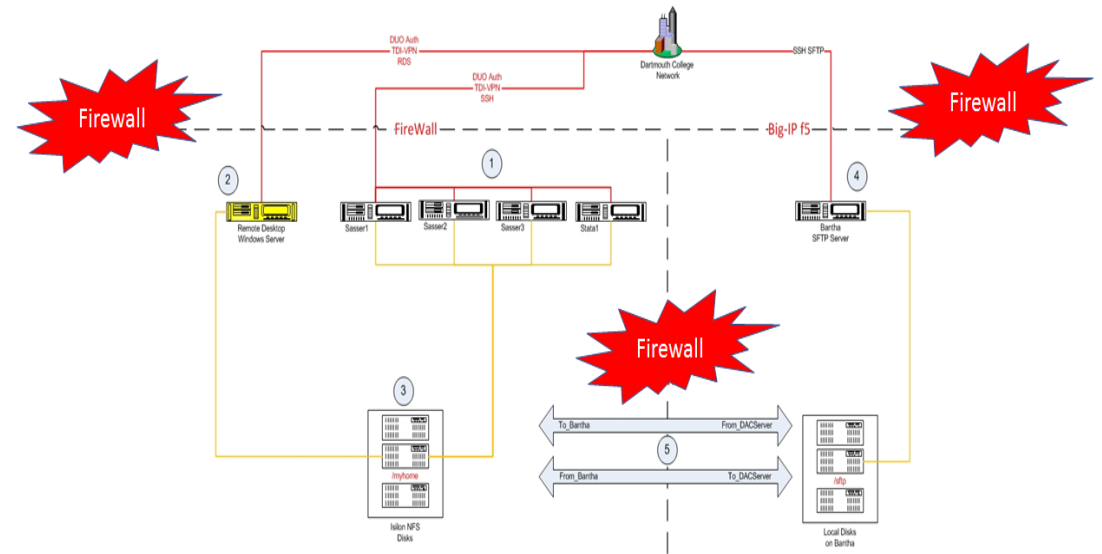
Secondary data elements (imaging, chart review)

2 opposing forces

Data as Community Resource



Data Security



2 opposing forces

VISION as a Community Resource

- VISION is a community dedicated to generating RWE to improve the quality and effectiveness of vascular care
- All members provide data to the PSO and data is made available to the community for the purposes of improving vascular care
- VQI has a process in place for data usage in which members to submit proposals to a Research Advisory Council.
- Once approved by the RAC, investigators receive a blinded dataset which they can use to conduct their analyses.

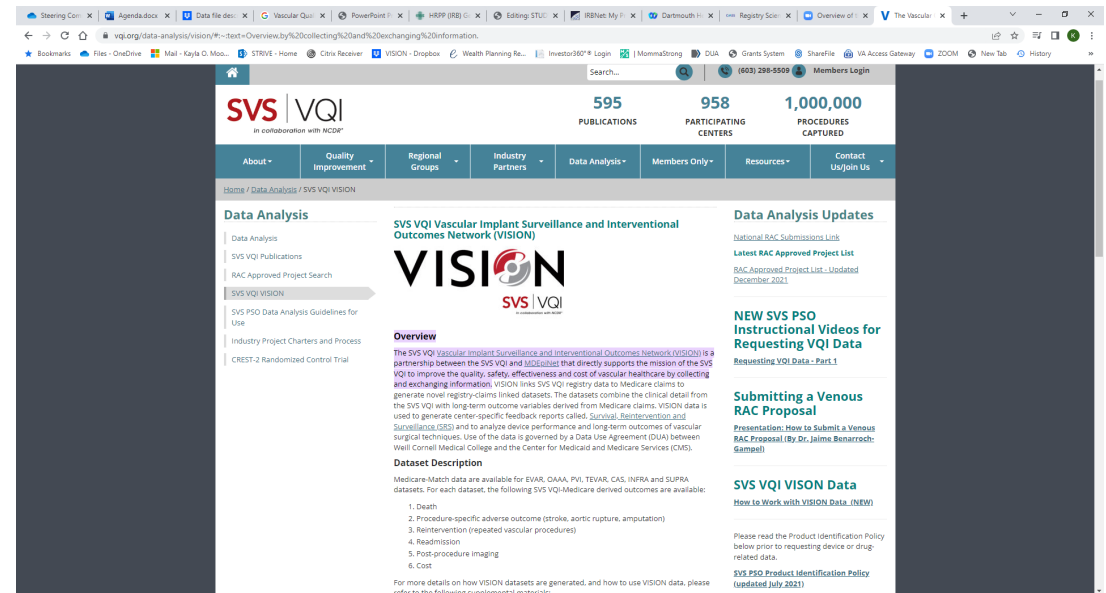
Rules Governing use of CMS Data

- Data must remain on secure HIPAA/FISMA compliant server
- Access is restricted to individuals named on the DUA
- No individual level data can be removed from the server
- Only aggregate/de-identified data (tables, figures) can be removed from the server.
- Output is reviewed by IT security team prior to transfer to ensure suppression requirements are applied (no cell sizes less than 11)
- In addition, CMS requires that each DUA be project-specific and tied to a single funding source

Process for using VQI VISION data

1. Obtain VQI/RAC approval
2. VISION Priorities Committee conducts secondary screening to ensure:
 - Clarity/feasibility of research question
 - Clear need for Medicare data
 - Falls within scope of DUA
3. VISION Analytic Team requests research memorandum
4. VISION Analytic Team works with investigators to refine analytic plan and conduct analyses
5. Aggregate tables and figures are shared for dissemination of findings

<https://www.vqi.org/data-analysis/vision>



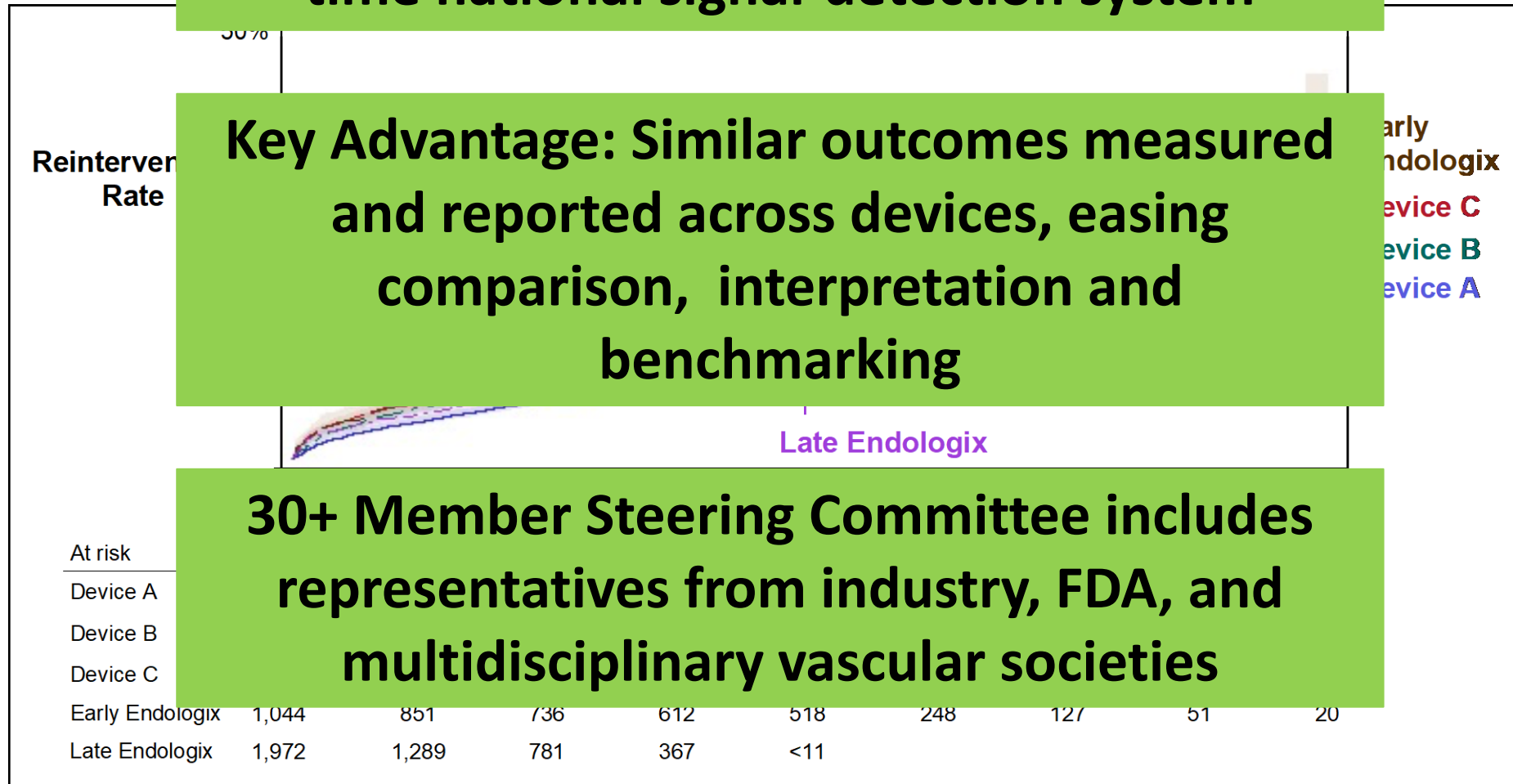
The screenshot displays the SVS VQI VISION website. The header includes the SVS VQI logo and navigation tabs: About, Quality Improvement, Regional Groups, Industry Partners, Data Analysis (selected), Members Only, Resources, and Contact Us/Join Us. The main content area features the VISION logo and an overview of the VISION network. Key statistics shown are 595 Publications, 958 Participating Centers, and 1,000,000 Procedures Captured. The 'Data Analysis Updates' section lists 'National RAC Submissions Link', 'Latest RAC Approved Project List', and 'RAC Approved Project List - Updated December 2021'. There are also links for 'NEW SVS PSO Instructional Videos for Requesting VQI Data' and 'Submitting a Venous RAC Proposal'.

What's Next: VQI-VISION and Kaiser Collaboratively Built **LEAF** for Long-Term EVAR Surveillance

“Device Dashboards” can serve as a near real-time national signal-detection system

Key Advantage: Similar outcomes measured and reported across devices, easing comparison, interpretation and benchmarking

30+ Member Steering Committee includes representatives from industry, FDA, and multidisciplinary vascular societies



VQI/ VISION / Kaiser Permanente Scope, Timeline and Deliverables

Phase 1

- Analyses of 2003-2018 data (current CMS DUA)
- Deliverable: Device-Specific SRS Report (2018 data)
- Timeline: 4-8 weeks from start date

DONE 11/2021

Phase 2

- Analyses of 2003-2019 data (linkages and late-outcomes updated (current CMS DUA))
- Deliverable: Device Specific SRS Report (2019 data)
- Timeline: 2-5 months from start date

DONE 6/2022

Phase 3

- New VRDC DUA- Analyses of most recent available data (2003 - present)
- Deliverable: Device-Specific SRS Report (up to present year)
- Timeline: 12 – 18 months from start date

Phase 4

- Phase 4a: Vascular Research Collaborative (VRC) – Led Chart Review:
 - VQI Centers to collect additional reporting via additional existing CRF
 - Deliverable: Additional CRF collected for device-specific analyses as prompted by Phases 1-3
 - Timeline 6-12 months from start date
- Phase 4b: Vascular Research Collaborative (VRC) – Led Chart Review and Imaging Upload and Review:
 - VQI Centers to collect additional images for Core Lab review for relevant questions
 - Deliverable: Additional imaging and clinical data collected and reviewed as prompted by Phases 1-3
 - Timeline 12-18 months from start date

Preliminary Collaboration and Planning

Preliminary Work	 <i>In collaboration with NCDR*</i>		Industry Teams
Defining outcomes	Existing data/discussions	Existing data/discussions	Conference calls, planning, review
Preliminary data harmonization	Existing data/discussions	Existing data/discussions	Conference calls, planning, review
Mock Report Generation	Modification of standardized existing process	Modification of standardized existing process	Conference calls, planning, review
Data gathering, Sharing, and Governance planning	Collaborative plans and discussions	Collaborative plans and discussions	Collaborative plans and discussions

Efficiency: LEAF Reports Are Built on Existing VQI Survival, Reintervention, and Surveillance Reports



Vascular Quality Initiative Endovascular Abdominal Aortic Aneurysm Repair (EVAR) Survival, Reintervention, and Surveillance Report

This report presents the following three outcomes related to the quality of care provided to Medicare patients treated with EVAR:

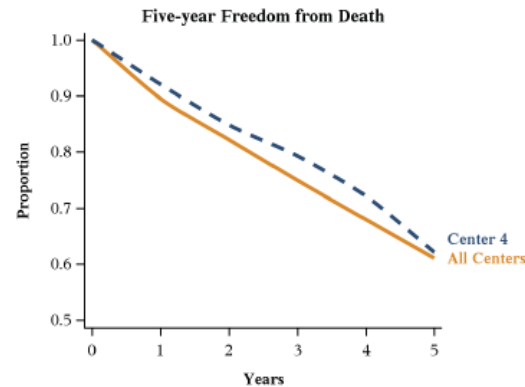
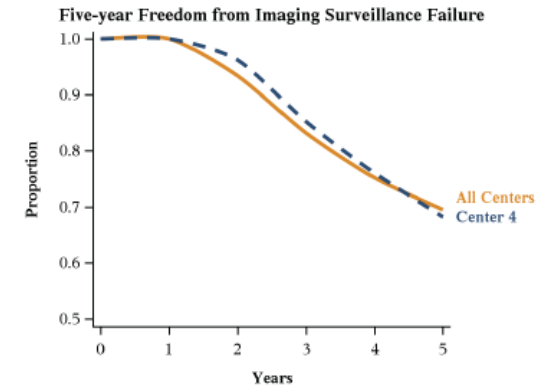
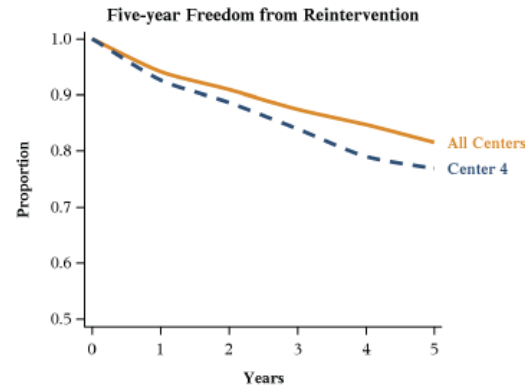
A: Your center's five-year freedom from reintervention rate compared to all other VQI centers.

B: Your center's five-year survival rate compared to all other VQI centers.

C: Your center's five-year freedom from imaging surveillance failure rate compared to all other VQI centers.

These data are derived from 2003-2016 VQI registry data matched to Medicare fee-for-service claims, and are made possible by the Vascular Implant and Interventional Outcomes Network (VISION), a partnership between the SVS PSO and MDEpiNet.

Center data are not shown for centers with fewer than 11 events due to CMS suppression requirements.



Selected Patient Characteristics

Characteristic	Center 4	Overall
Median AAA Diameter	5.7	5.5
Median Age	77.0	76.0
% Male	75.8	79.2
% Urgent/Emergent	9.0	12.5
'-' indicates fewer than 11 events; data suppressed per CMS requirements		

References: Columbo et al, Ann Surg 2019; Hoel et al, JVS 2019, Columbo et al, JVS 2019, Wanken et al, JVS 2019



Summary

- **Outline the VQI-VISION coordinated registry network**
 - *Seminal publication for device surveillance using linked datasets*
- **Share Key Findings from VQI-VISION, and VISION Infrastructure**
 - *Linkage to registries are an important element*
- **Describe what is next for VQI-VISION**
 - *Industry partnership and reporting for sustainability and impact*

